

**PG DIPLOMA IN FINANCIAL MARKETS
PRACTICE**

00351

Term-End Examination

December, 2010

**MFP-5 : PROFESSIONALS IN FINANCIAL
MARKET PRACTICE**

Time : 3 hours

Maximum Marks : 100

Note : Attempt any five questions. All questions carry equal marks.

1. What is a trading platform ? Discuss the features and applications of various products of ODIN suite.

2. Explain mergers and take overs. Discuss the general features of a deal structure and explain the structures emerging after mergers spin offs and demergers.

3. How mutual funds are classified ? Explain the structure of mutual funds and discuss some of the determinants of consumer behaviour of investors investing in mutual funds.

4. Explain in detail the nature of conflict of interests that may arise for various market intermediaries with suitable examples.
 5. What is insider trading ? Discuss the major obligations of listed companies to prevent trading based on insider information.
 6. List the various kinds of research reports prepared by Research firms. Discuss the important information contents of a company research report.
 7. (a) What are 'portfolio management services' ? Explain the investment process involved in portfolio management services.
(b) Briefly discuss the advantages and disadvantages of the different investment strategies in Portfolio Management.
 8. What is the significance of personal financial planning ? Explain the steps involved in the financial planning process.
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