

00561

**P.G. DIPLOMA IN FINANCIAL MARKETS  
PRACTICE**

**Term-End Examination**

**December, 2010**

**MFP-1 : EQUITY MARKETS**

*Time : 3 hours*

*Maximum Marks : 100*

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*Note : Attempt any five questions. All questions carry equal marks.*

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1. Discuss the evolution of Global Financial markets and explain the factors affecting these markets.
2. Differentiate between systematic risk and unsystematic risk. Explain the major sources of risks which are of concern for equity markets.
3. Discuss the regulations prescribed for Qualified Institutional placement with specific reference to pricing and allotment.

4. Discuss the process of 'Application Supported by Blocked Amount' (ASBA) with regard to public issues. Also explain the role played by the 'Self Certified Syndicate Banks' (SCSBs) in this regard.
  5. What is 'rolling settlement' ? Explain the process of rolling settlement and discuss its advantages.
  6. Who is a 'trading member' ? Discuss the process of redressing an investor's grievance against a trading member.
  7. What is the importance of charts in Technical Analysis ? Discuss the various charting tools and indicators of the technical analysis.
  8. Why should an investor diversify his/her investments ? Give reasons and explain the different diversification strategies that are practiced by an investor while making investments.
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