

**POST GRADUATE DIPLOMA IN CORPORATE
GOVERNANCE (PGDICG)**

00095

Term-End Examination

June, 2015

MCT-003 : COMPLIANCE AND RISK MANAGEMENT

Time : 3 hours

Maximum Marks : 100

***Note :** Attempt any **five** questions. All questions carry equal marks.*

1. Explain the risk management process giving examples. 20

2. (a) Explain the compliances relating to the Board of Directors. 10
(b) What is the role of Independent Directors ? 10

3. Discuss the emerging issues in financial risk management. 20

4. Discuss the compliance relating to subsidiary companies. 20

5. Explain the non-mandatory requirements under Clause 49 of the Listing Agreement. 20

6. Explain the tools for managing financial risk. 20
7. What is a Compliance Certificate ? Who is authorized to issue the same ? 20
8. Write notes on the following : 10+10
- (a) Audit Committee
 - (b) Corporate Governance
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