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**MFP-01**

**MANAGEMENT PROGRAMME/POST  
GRADUATE DIPLOMA IN FINANCIAL  
MARKET PRACTICES (MP/PGDFMP)**

**Term-End Examination**

**June, 2024**

**MFP-01 : EQUITY MARKETS**

*Time : 3 Hours*

*Maximum Marks : 100*

*Weightage : 70%*

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**Note :** *Attempt any **five** questions. All questions  
carry equal marks.*

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1. What do you understand by Risk Return and Risk Appetite ? Describe the different types of risks in the financial system.
2. Describe the role of the various intermediaries involved in the settlement process of securities transactions.
3. What are the activities involved in the Pre-Issuance Process. Describe the information contained in the prospectus.

**P. T. O.**

4. What are the different types of Order Book ? Explain the process of Order Execution and describe the different types of order execution.
5. Explain the different methods used for computation of Net Worth of Trading members of an exchange. Describe the monitoring mechanism used by exchanges to monitor the exposure of trading members.
6. Discuss the functions of the Investors Education and Protection Fund Committee and list the sources of funding for investor protection. Describe the role of exchanges in Investor protection.
7. Explain the different types of Relative Valuation Techniques used for equity valuation.
8. Explain the steps in Portfolio Management and describe the affect of diversification on portfolio risk. Discuss the various diversification strategies.