

**MANAGEMENT PROGRAMME**

**Term-End Examination**

**June, 2014**

**MS-46 : MANAGEMENT OF FINANCIAL SERVICES**

*Time : 3 hours*

*Maximum Marks : 100*

*(Weightage : 70%)*

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**Note :** *Attempt any five questions. All questions carry equal marks.*

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1. What are the Fund Based Financial Services ? How does SEBI regulate fee based financial services ? Discuss.
2. (a) How has trading in Stock Exchanges changed in India during the last few years ? Discuss  
(b) How has SEBI imposed more discipline on brokers ?
3. What do you understand by Depository Participants ? Discuss the Regulations prescribed by SEBI for Depository Participants.
4. Describe the activities undertaken by companies in India before and after issuing its shares ? Briefly explain Book Building Process.

5. Define different types of leasing and explain their significance. Discuss the various clauses in a lease agreement.
  6. Discuss the salient features of the Regulations prescribed by IRDA for the investment of funds by Insurance Companies.
  7. Write short notes on :
    - (a) Factoring
    - (b) Discounting of Bills
    - (c) Rating of securities
    - (d) Green shoe option
  8. Describe the different types of risks faced by various financial services firms.
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