

**POST GRADUATE DIPLOMA IN CORPORATE
GOVERNANCE (PGDICG)**

Term-End Examination

June, 2014

**MCT-003 : COMPLIANCE AND RISK
MANAGEMENT**

Time : 3 hours

Maximum Marks : 100

Note : Attempt any five questions.

1. Explain the meaning and classification of risk. 20
2. Explain non - mandatory requirements of clause 49 of the listing agreement. 20
3. Discuss the compliances relating to subsidiary companies. 20
4. Explain the relevance of Financial risk management in companies. 20
5. Prepare a check list for the Board meeting of a listed company. 20
6. Explain the role and functions of the Registrar of Companies. 20

7. Write notes on the following : **10+10**
(a) Employees stock options
(b) Right shares
8. Write notes on the following : **10+10**
(a) ADR
(b) Internal control system
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