

**MASTER OF BUSINESS ADMINISTRATION
(FINANCIAL MARKETS)
(MBAFM)**

Term-End Examination

June, 2014

MCT-083 : SECURITIES AND BUSINESS LAW

Time : 3 hours

Maximum Marks : 100

Note : Answer any five questions. All questions carry equal marks.

1. Discuss the various functions of securities market and the regulatory framework, governing securities market. 20
2. Discuss the various types of instruments used by Indian Companies to raise capital from International Market. 20
3. Explain the main provisions relating to minimum credit rating, ceiling on interest rate, brokerage, renewal of public deposit in regard to NBFC's. 20
4. "A company is a separate entity". Do you agree? Support your answer with the help of case law. 20
5. Discuss the liability of various parties to negotiable instrument. 20
6. "All contracts are agreements but all agreements are not contract". Explain with suitable example. 20

7. What is corporate governance ? What are the various mechanisms for corporate governance ? **20**
8. Write short notes on the following : **10+10=20**
- (a) Sarbanes Oxley Act.
 - (b) Corporate Social Responsibility of a firm.
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