

**POST GRADUATE DIPLOMA IN FINANCIAL
MARKETS PRACTICE**

Term-End Examination

June, 2012

00511

MFP-1 : EQUITY MARKETS

Time : 3 hours

Maximum Marks : 100

Note : Attempt any five questions.

All questions carry equal marks.

1. Define Capital Market and Discuss its functions. Briefly explain the capital market segments.
2. Discuss the role played by different Intermediaries involved in the settlement of securities transactions.
3. What is 'Application Supported by Blocked Amount' (ASBA) ? What are the advantages of applying through ASBA process ? Discuss the role played by self certified Syndicate Bank in the process of ASBA.
4. Explain the concept, mechanism and advantages of the following :
 - (a) Badla System
 - (b) Rolling Settlement

5. "Capital market can function well if effective surveillance is carried out". Discuss this statement by bringing out the different steps taken by SEBI in this regard.
 6. What is the purpose of Relative Valuation Techniques ? Discuss the important analysis benchmarks in this regard.
 7. What do you mean by 'Fundamental Analysis' ? Discuss the different segments analysed as part of this analysis.
 8. Discuss the importance of portfolio diversification and explain the different diversification strategies adopted in case of Investments.
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