

**MANAGEMENT PROGRAMME/  
P. G. DIPLOMA IN FINANCIAL  
MARKET PRACTICE  
(MP/PGDFMP)**

**Term-End Examination  
December, 2023**

**MFP-1 : EQUITY MARKETS**

*Time : 3 Hours*

*Maximum Marks : 100*

---

**Note :** (i) *Attempt any **five** questions.*

(ii) *All questions carry equal marks.*

---

---

1. What do you understand by the 'Equity' ?  
Describe the features of equity shares. What are the advantages and disadvantages of Equity Share Capital ?
2. Write short notes on the following :
  - (a) Behavioral Finance
  - (b) Contract Theory

3. Explain the process of 'Application Supported by Blocked Amount' (ASBA) and discuss the advantages of applying through this method.
4. Explain the term 'Rolling Settlement'. Describe the process of Rolling Settlement. What are the advantages of Rolling Settlement ?
5. Discuss Dematerialization and Re-materialization of securities. What are the benefits of dematerialization ? Describe the various entities and process involved in dematerialization.
6. What are Profitability Ratios ? Discuss the different profitability ratios and the method of calculating these ratios.
7. Describe 'Investment Philosophy' and its benefit. Discuss the Warren Buffet Investment Philosophy.
8. Write short notes on any *four* of the following :
  - (a) Stock split
  - (b) Capital markets
  - (c) Fungibility
  - (d) Straight Through-Processing (STP)
  - (e) Bar chart