

No. of Printed Pages : 3

MFP-1

**MANAGEMENT PROGRAMME/
P. G. DIPLOMA IN FINANCIAL
MARKETS PRACTICE (MP/PGDFMP)**

Term-End Examination

December, 2021

MFP-1 : EQUITY MARKETS

Time : 3 Hours

Maximum Marks : 100

Note : (i) Attempt any **five** questions.

(ii) All questions carry equal marks.

1. Differentiate between the following :

- (a) Equity shares and Preference shares
- (b) Exchange markets and Over-the-counter markets

- 2. Discuss the characteristics of primary markets. What are the factors that affect the growth and performance of primary markets ?
- 3. Describe the process followed for order placement and order execution in the order and trade management system in exchanges.
- 4. What do you understand by the term 'Badla trading' ? Explain the mechanism and advantages of Badla trading and discuss why was it replaced with the rolling settlement.
- 5. Discuss the following statements :
 - (a) Risk management in futures and options segment.
 - (b) Surveillance system as adopted by Securities and Exchange Board of India (SEBI).

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[3]

6. Explain the meaning and significance of technical analysis. Discuss any *two* charting tools and techniques that are used for technical analysis.
7. Explain the term 'Portfolio Management'. Discuss the steps involved in the process of portfolio selection.
8. Write short notes on any *four* of the following :
 - (a) Dividend yield
 - (b) Ex-dividend date
 - (c) Book value
 - (d) Replacement cost
 - (e) Focus investing