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MFP-005

**MANAGEMENT PROGRAMME/
P. G. DIPLOMA IN FINANCIAL
MARKETS PRACTICE (MP)**

Term-End Examination

December, 2020

**MFP-005 : PROFESSIONALS IN FINANCIAL
MARKET PRACTICE**

Time : 3 Hours

Maximum Marks : 100

Note : Answer any **five** questions. All questions carry equal marks.

1. What is a 'Trading Platform' ? What are the requirements of a good Trading Platform ? Explain the different markets on the National Exchange for Automated Trading (NEAT) system.
2. What is a 'Clearing House' ? Discuss its functions and explain its role in the trade flow.

3. Explain the meaning, significance and process of due diligence. Discuss the role of different professionals who constitute the due diligence team.
4. What is a 'Financial Product' ? Discuss the important features of such products. Differentiate financial products from other consumer products.
5. Discuss the issues and concerns in marketing financial products in rural markets. Explain the initiatives taken by the Insurance Companies in promoting their products in rural areas.
6. What is the need for regulation of Financial Markets ? Briefly discuss the role of different Regulators in these markets in India.
7. Discuss the major steps involved in the process of investment by portfolio managers. Explain the advantages and disadvantages of different

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investment strategies adopted in portfolio management.

8. Explain the role of Financial Advisors in the process of personal financial planning of an Investor. Discuss the factors affecting Financial Advisory Profession.