

**MANAGEMENT PROGRAMME / P.G.
DIPLOMA IN FINANCIAL MARKETS
PRACTICE**

Term-End Examination

December, 2017

**MFP-005 : PROFESSIONALS IN FINANCIAL
MARKET PRACTICE**

Time : 3 hours

Maximum Marks : 100

Note : (i) Attempt any five questions.

(ii) All questions carry equal marks.

1. What is a trading platform ? What are the requirements of an effective trading platform. Discuss the NEAT system of national stock exchange.
2. Explain the various deal processes in a Depository. Discuss the linkages of depository with other market intermediaries.
3. What is meant by 'Due Diligence' ? Explain its principal benefits and the process adopted for this purpose. Discuss the features of an effective due diligence process.
4. Define 'Merger and Acquisition' (M and A). What are the strategic issues in mergers and acquisitions that investment bankers have to take into consideration while determining transaction structure. Discuss the different valuation techniques adopted in M and A deals.

5. What do you understand by pricing of financial products and services ? Discuss the various factors that impact the pricing decision for financial products and services of a firm.
 6. What is 'Money Laundering' ? Explain the major provisions and intermediaries obligations under Prevention of Money Laundering Act, 2002.
 7. What are the types of reports prepared by research and analysis firms ? Explain in detail the various ingredients of a company report.
 8. What is 'Financial Planning' ? Explain the financial planning process and discuss how financial goals and objectives are identified ?
-