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**MANAGEMENT PROGRAMME /
POST GRADUATE DIPLOMA IN FINANCIAL
MARKETS PRACTICE**

Term-End Examination

December, 2016

MFP-001 : EQUITY MARKETS

Time : 3 hours

Maximum Marks : 100

Note : (i) *Attempt any five questions.*

(ii) *All questions carry equal marks.*

1. Define Debentures and describe the major features of debentures. How are debentures beneficial to the Company and the investors ? Discuss.
2. (a) What is a Stock Exchange ? Mention the names of various stock exchanges functioning in India.
(b) Discuss the role of the intermediaries involved in the process of settlement of securities transactions.
3. What are Indian Depository Receipts (IDRs) ? Describe the procedure followed by a company issuing IDR and the regulatory requirement in this regard.
4. Discuss the role of Securities and Exchange Board of India (SEBI) and the Stock Exchanges in protecting investor's interest.

5. (a) Explain the role of Clearing Corporation Ltd. ? What are the services provided by it to its members ?
(b) Describe the Straight-Through-Processing (STP) System ? How do the transactions flow through this system ?
 6. Explain diversification and discuss the different Portfolio diversification strategies followed to maximise the rate of return.
 7. Discuss in detail the surveillance system as adopted by Securities and Exchange Board of India (SEBI) ? How is surveillance function categorised ?
 8. Write short notes on **any four** of the following :
 - (a) Anchor Investor
 - (b) Dabba Trading
 - (c) Behavioural Finance
 - (d) Dupont Analysis
 - (e) Red Herring Prospectus (RHP)
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