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**MANAGEMENT PROGRAMME / P.G.
DIPLOMA IN FINANCIAL MARKETS
PRACTICE**

**Term-End Examination
December, 2014**

MFP-1 : EQUITY MARKETS

Time : 3 hours

Maximum Marks : 100

Note : (i) Attempt *any five* questions.

(ii) *All* questions carry *equal* marks.

1. "Developed and well integrated financial markets are critical for achieving some desirable goals". Discuss this statement and give classification of financial markets.
2. Discuss in detail the different sources that can be tapped for mobilisation of resources from international markets.
3. Explain the Book Building Process for issuance of equity shares ? Discuss the role and responsibilities of intermediaries involved in this process.
4. What is the significance of profitability ratios ? Discuss these ratios with the help of suitable examples.
5. (a) Explain Straight Through Processing and the flow of transactions for this system.
(b) Describe the methods used by Trading Members for calculating their net worth.

6. Explain the following :
 - (a) Electronic Communication Network
 - (b) Application Supported by Blocked Amount (ASBA) Process.

 7. Explain the importance of portfolio management and discuss the steps involved in investment process.

 8. Discuss the corporate actions by a company in the following events :
 - (a) Dividends and adjustment for dividends.
 - (b) Stock split and adjustment for stock split.
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