

00510

**POST GRADUATE DIPLOMA IN FINANCIAL
MARKETS PRACTICE**

Term-End Examination

December, 2012

MFP-1 : EQUITY MARKETS

Time : 3 hours

Maximum Marks : 100

Note : (1) Attempt *any five* questions.

(2) All questions carry *equal* marks.

1. "The need for developed financial markets in India is also due to the increasing interlinkages with Global Financial Systems". Discuss this statement fully.
2. Write explanatory notes on the following :
 - (a) Contract Theory
 - (b) Agency Theory
3. What is Primary market ? Explain its characteristics and discuss factors that affect the growth of this market.
4. Discuss the process of order Execution at a Stock Exchange. Explain the types of order Execution.

5. Discuss the role of SEBI and the Stock Exchanges in protecting the investors' interests.
 6. List out the Profitability Ratios and discuss the methodology to calculate them.
 7. What is 'Dow Theory' ? Where is it used ? Discuss its six basic tenets.
 8. What do you mean by 'Investment Philosophy' ? Why is it important ? Explain the Warren Buffet's Investment Philosophy.
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