

MFP-5

Management Programme

**ASSIGNMENT
SECOND SEMESTER
2017**

MFP-5: PROFESSIONALS IN FINANCIAL MARKETS PRACTICE



**School of Management Studies
INDIRA GANDHI NATIONAL OPEN UNIVERSITY
MAIDAN GARHI, NEW DELHI – 110 068**

ASSIGNMENT

Course Code	:	MFP-5
Course Title	:	Professionals in Financial Markets Practice
Assignment Code	:	MFP-5/TMA/SEM - II/2017
Coverage	:	All Blocks

Note: Attempt all the questions and submit this assignment on or before 31st October, 2017 to the Coordinator of your Study Centre.

1. Meet a Broker of your choice and discuss the following with him/her:
 - The role of Brokers in the securities market,
 - The major activities undertaken by them, and
 - The major changes that have taken place in the arena of Brokerage Houses after liberalization.

Write a detailed note on your discussion.

2. Explain briefly the main functions of a Merchant Banker in respect of Issue Management. Describe the steps involved in the life cycle of an Initial Public Offering (IPO) through the process of Book Building.
3. What is 'Venture Capital'? What is its significance? How is it different from traditional corporate finance? Discuss the different stages of Venture Capital Funding.
4. What do you understand by Private Placement of Shares? Who are eligible to get allotment under it? Describe the procedure followed under private placement and its advantages.
5. Explain the relevance of 'Financial Market Training' as an employment opportunity and discuss the various kinds of training opportunities one may come across in the Financial Markets.