

**MS-46**

**Management Programme**

**ASSIGNMENT**  
**January 2022 Session**

**MS- 46: Management of Financial Services**

**(Last date of submission  
for January 2022 session is 30<sup>th</sup> April, 2022)**



**School of Management Studies**  
**INDIRA GANDHI NATIONAL OPEN UNIVERSITY**  
**MAIDAN GARHI, NEW DELHI – 110 068**

## ASSIGNMENT

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<b>Course Code</b>	<b>:</b>	<b>MS-46</b>
<b>Course Title</b>	<b>:</b>	<b>Management of Financial Services</b>
<b>Assignment Code</b>	<b>:</b>	<b>MS-46/TMA/JULY/2022</b>
<b>Coverage</b>	<b>:</b>	<b>All Blocks</b>

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**Note:** Attempt all the questions and submit this Assignment to the Coordinator of your Study Centre. **Last date of submission for January 2022 session is 30<sup>th</sup> April, 2022.**

1. What are the various Non-Banking Financial Institutions operating in India? Describe the role and functions of different Non-Banking Financial Institutions operating in the Indian financial markets.
2. Write a note on the Securities and Exchange Board of India (SEBI) Regulations governing brokers and Sub-brokers. Between self –regulation and legislative regulation, which is more relevant in India and why?
3. What do you understand by ‘Issue Management’? Explain the various factors that have to be kept in mind by the Merchant Bankers while selecting a Public Issue proposal. Also describe different post-issue activities that are managed by them?
4. Explain the concept of ‘Credit Rating’. Discuss the process followed by a rating agency while giving a Credit Rating symbol to the issuer company. Describe how the Credit Rating agencies are regulated in India.
5. Define ‘Insurance’. Discuss the various types of Insurable Risks. Explain the contingencies that are covered under a typical Life Insurance Contract.