

Management Programme

ASSIGNMENT FIRST SEMESTER (January to June) 2021

This Assignment is being provided to only those students who are going to complete their maximum duration in the admission cycle January 2021 (TEE June 2021). For all other students the Assignments for this course will be available in July 2021 admission cycle (TEE December 2021) and therefore they should not attempt this Assignment.

MS- 46: Management of Financial Services



**School of Management Studies
INDIRA GANDHI NATIONAL OPEN UNIVERSITY
MAIDAN GARHI, NEW DELHI – 110 068**

ASSIGNMENT

Course Code	:	MS-46
Course Title	:	Management of Financial Services
Assignment Code	:	MS-46/TMA/SEM-I/2021
Coverage	:	All Blocks

- Note:** 1. *This Assignment is being provided to only those students who are going to complete their maximum duration (validity period) in the admission cycle January 2021 (TEE June 2021). For all other students the Assignments for this course will be available in July 2021 admission cycle (TEE December 2021) and therefore they should not attempt this Assignment.*
2. Attempt all the questions and submit this Assignment to the Coordinator of your Study Centre on or before 30th April, 2021.

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1. What are the various Non-Banking Financial Institutions operating in India? Describe the role and functions of different Non-Banking Financial Institutions operating in the Indian financial markets.
2. Write a note on the Securities and Exchange Board of India (SEBI) Regulations governing brokers and Sub-brokers. Between self –regulation and legislative regulation, which is more relevant in India and why?
3. What do you understand by ‘Issue Management’? Explain the various factors that have to be kept in mind by the Merchant Bankers while selecting a Public Issue proposal. Also describe different post-issue activities that are managed by them?
4. Explain the concept of ‘Credit Rating’. Discuss the process followed by a rating agency while giving a Credit Rating symbol to the issuer company. Describe how the Credit Rating agencies are regulated in India.
5. Define ‘Insurance’. Discuss the various types of Insurable Risks. Explain the contingencies that are covered under a typical Life Insurance Contract.