

MFP - 005

**Management Programme (MP) / Post- Graduate Diploma in Financial
Markets Practice (PGDFMP)**

**ASSIGNMENT
For
July 2024 and January 2025 Sessions**

MFP - 005: Professionals in Financial Markets Practice

**(Last date of submission for July 2024 session is 31st October, 2024
and for January 2025 sessions is 30th April, 2025)**



**School of Management Studies
INDIRA GANDHI NATIONAL OPEN UNIVERSITY
MAIDAN GARHI, NEW DELHI – 110 068**

ASSIGNMENT

Course Code	:	MFP - 005
Course Title	:	Professionals in Financial Markets Practice
Assignment Code	:	MFP - 005/TMA/JULY/2024
Coverage	:	All Blocks

Note: Attempt all the questions and submit this assignment to the Coordinator of your study centre. Last date of submission for July 2024 session is 31st October, 2024 and for January 2025 sessions is 30th April, 2025.

1. Meet a Stock Broker of your choice and analyze the role played by her/him in the Securities Markets? Try and identify the major activities that are carried out by the Broker. Also discuss the major challenges that are being faced by the Brokerage houses in India in recent times. Prepare a note on your discussions.
2. What do you mean by 'Venture Capital Undertaking'? Explain the different stages of Venture Capital funding. Compare and contrast between concepts of 'Venture Capital' and 'Private Equity'.
3. Meet the Branch Manager of an Insurance Company of your choice and discuss with her/him the different marketing and distribution Channels and Strategies of their Insurance Products. Write a note on your discussions.
4. Why do companies go for issue of 'Employees Stock Option Plans'? Explain the compliance requirements for issuing the 'Employees Stock Option Plans'.
5. Meet a Financial Advisor of your choice and find out the role played by her/him in financial markets. Also discuss the factors affecting the 'Financial Advisory profession' in India. Write a note on your discussions.