

**MFP -1**

## **Management Programme**

### **ASSIGNMENT**

**For**

**January 2023 and July 2023 Sessions**

### **MFP -1: Equity Markets**

**(Last date of submission for January 2023 session is 30<sup>th</sup> April, 2023  
and for July 2023 sessions is 31<sup>st</sup> October, 2023)**



**School of Management Studies**

**INDIRA GANDHI NATIONAL OPEN UNIVERSITY**

**MAIDAN GARHI, NEW DELHI – 110 068**

## ASSIGNMENT

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<b>Course Code</b>	<b>:</b>	<b>MFP -1</b>
<b>Course Title</b>	<b>:</b>	<b>Equity Markets</b>
<b>Assignment Code</b>	<b>:</b>	<b>MFP -1/TMA/JAN/2023</b>
<b>Coverage</b>	<b>:</b>	<b>All Blocks</b>

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**Note: Attempt all the questions and submit this assignment to the Coordinator of your study centre. Last date of submission for January 2023 session is 30<sup>th</sup> April, 2023 and for July 2023 session is 31<sup>st</sup> October, 2023.**

1. What do you understand by 'Book Building Process' of issuing shares? Discuss the procedure adopted for this purpose and the advantage to the Issuing Company and the Investors.
2. Explain the role of Securities and Exchange Board of India (SEBI) in regulating the securities markets in India. Discuss the major achievements of SEBI since its inception.
3. What do you understand by pledging of shares? How is it different from hypothecation of shares? Explain the procedure adopted for pledging of shares.
4. Explain 'Qualified Institutional Placement' and the process involved in it. Discuss the regulatory framework that has evolved for this purpose in India.
5. Explain the steps involved in the process of portfolio management. Discuss the fiduciary responsibilities of a Portfolio Manager?