

MFP-5

Management Programme

ASSIGNMENT
For
July 2022 and January 2023 sessions

MFP-5: Professionals in Financial Market Practice

(Last date of submission for July 2022 session is 31st October, 2022 and for January 2023 session is 30th April, 2023)



School of Management Studies
INDIRA GANDHI NATIONAL OPEN UNIVERSITY
MAIDAN GARHI, NEW DELHI – 110 068

ASSIGNMENT

Course Code	: MFP-5
Course Title	: Professionals in Financial Market Practice
Assignment Code	: MFP-5/TMA/JULY/2022
Coverage	: All Blocks

Note: Attempt all the questions and submit to the Coordinator of your study centre. **Last date of submission for July 2022 session is 31st October, 2022 and for January 2023 session is 30th April, 2023.**

1. Visit a Broker of your choice and study the role played by the Broker as a Financial Intermediary. Discuss the major activities of a Brokerage House and the qualities of a good Brokerage House.
2. Discuss the role of Registrars and Transfer Agents in the Indian Stock Markets and the regulations governing them.
3. What is an Offer Document? What other documents are required to be submitted along with it? Describe the contents of an Offer Document.
4. What is 'Insider Trading'? Discuss different categories of Insiders. Describe the various strategies adopted to prevent insider trading.
5. Discuss with the Brokers, Investors, Bankers, etc., of your choice and identify the various kinds of training opportunities that are available in the Financial Markets.