

**POST GRADUATE DIPLOMA IN CORPORATE
GOVERNANCE**

00722

Term-End Examination

December, 2010

**MCT-003 : COMPLIANCE AND RISK
MANAGEMENT**

Time : 3 hours

Maximum Marks : 100

Note : Attempt any five questions. All questions carry equal marks.

1. Describe the various compliance related filing services.
2. Explain the various provisions related to Board Meetings and discuss the duties of the Chairman of the Board.
3. List the mandatory statutory registers and records that are to be maintained by any listed company. Explain the contents of Register and Index of Members and Register and Index of Debenture holders.

4. Describe the compliance relating to transfer, transmission and buy back of shares.
 5. What is a subsidiary company ? Discuss the various compliances relating to subsidiary companies under SEBI and Companies Act 1965.
 6. What do you understand by disclosures ? Explain the various compliances related to disclosures.
 7. What is Internal Control System ? Explain the role of the Board in Risk Management.
 8. What is financial risk ? Explain how derivatives can be used to manage financial risk.
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