

POST GRADUATE DIPLOMA IN BIOETHICS (PGDBE)

Term-End Examination

June, 2015

MHS-016 : RESEARCH ETHICS – I

Time : 2 hours

Maximum Marks : 70

PART A

Attempt all questions. Each question carries one mark. Select the most appropriate choice from the given choices for each of the following questions and write it on the answer-sheet provided.

50×1=50

1. The responsibilities of a principal investigator are
 - (1) Observance of rights and welfare of research participants
 - (2) Comply with the scientific, legal and ethical requirements of the study
 - (3) Competency in biomedical research methodology
 - (4) All of the above

2. Informed consent refers to
 - (1) Principle of autonomy
 - (2) Voluntary but uninformed decision-making
 - (3) Permission to participate in research
 - (4) Voluntary decision made by competent individuals after understanding the information

3. Reconsent is obtained from participants when
 - (1) Study extensions are planned
 - (2) Change in the method of treatment
 - (3) (1) and (2)
 - (4) None of the above

4. When can the Institutional Ethics Committee (IEC) choose to waive the consent ?
 - (1) For leftover samples after clinical investigations
 - (2) If participant privacy may be breached
 - (3) In situations with no available surrogate consent
 - (4) All of the above

5. What does the participant receive when he/she withdraws from the study ?
 - (1) Benefit for full participation
 - (2) Amount equal to the amount of participation
 - (3) No compensation
 - (4) None of the above

6. What is considered as undue compensation ?
 - (1) Free medication
 - (2) Providing insurance for unrelated conditions
 - (3) Free transportation for investigations not included in the study
 - (4) All of the above

7. What is conflict of interest ?
 - (1) Disagreement between investigators conducting the study
 - (2) Business interest with a company developing the product
 - (3) (1) and (2)
 - (4) None of the above

8. Any study, testing a new drug in children should be conducted after
 - (1) Animal trials
 - (2) Phase II trials in adults
 - (3) Phase III trials in adults
 - (4) Only simulation is allowed

9. In which years did the Helsinki Declaration state about post-trial access ?
 - (1) 2000, 2004, 2008
 - (2) 2001, 2003, 2009
 - (3) 2000, 2005, 2008
 - (4) 2001, 2004, 2008

10. Vulnerable study groups include

- (1) Economically disadvantaged people
- (2) Lactating mothers
- (3) Pregnant women
- (4) Geriatric population

11. What can the investigator inform the media about ?

- (1) Preliminary findings
- (2) Selective information that might bring about fear in the public
- (3) Assure clinical applications for human use
- (4) None of the above

12. Experimental research should be conducted in a

- (1) Fair, honest, partial and transparent manner
- (2) Biased, honest, partial and transparent manner
- (3) Fair, honest, partial and selective manner
- (4) Fair, honest, impartial and transparent manner

13. What is the full form of CIOMS ?

- (1) Council for Indian Organization of Molecular Science
- (2) Council for International Organization of Medical Science
- (3) Committee for International Offenses in Medical Science
- (4) None of the above

14. How many principles were laid down by ICMR in 2006 for 'Ethical Guidelines for Biomedical Research on Human Participants' ?

- (1) 12
- (2) 10
- (3) 14
- (4) 8

- 15.** A legally acceptable/authorized representative (LAR) can be
- (1) Relative or caretaker
 - (2) Investigator or research member
 - (3) Unrelated witness
 - (4) Any person of legal age
- 16.** The Principle of Voluntariness, Informed Consent and Community Agreement should apply 'mutatis mutandis' which means
- (1) Change only what needs to be changed
 - (2) Do not make any changes
 - (3) Change what can be changed
 - (4) None of the above
- 17.** The ICMR code has certain general and specific principles which specifically pertain to
- (1) Epidemiology, Clinical trials, Gynaecology and Transplantation
 - (2) Interventional clinical trials, Epidemiology, Genetics, Transplantation and ART
 - (3) Transplantation, ART, Genetics, Clinical trials and HIV/AIDS
 - (4) None of the above
- 18.** What is the opinion of Supreme Court in India about confidentiality regarding HIV/AIDS ?
- (1) Individual with HIV should tell his/her partner
 - (2) Individual's wish whether or not to disclose HIV status to his/her partner
 - (3) No regulations related to confidentiality regarding HIV/AIDS
 - (4) None of the above
- 19.** Who should undertake the professional and moral responsibility of the study ?
- (1) Investigators, Institutional Ethics Committee (IEC), Participants
 - (2) Investigators, Sponsors, Institution, IEC
 - (3) Investigators, Participants and Sponsors
 - (4) Investigator alone

- 20.** Which ICMR general principle states that all research procedures and data should be duly protected and preserved ?
- (1) Principle of Institutional Arrangements
 - (2) Principle of Professional Competence
 - (3) Principle of Totality of Responsibility
 - (4) Principle of Essentiality
- 21.** When was the Nuremberg code formulated ?
- (1) 1948
 - (2) 1942
 - (3) 1947
 - (4) 1945
- 22.** Which ICMR principle highlights autonomy ?
- (1) Principle of Voluntariness, Informed Consent and Community Agreement
 - (2) Principle of Maximization of Public Interest and Distributive Justice
 - (3) Principle of Non-Exploitation
 - (4) None of the above
- 23.** The rights and dignity of the participant can be protected by
- (1) Informed Consent
 - (2) Confidentiality
 - (3) Distributive Justice
 - (4) All of the above
- 24.** What are the four basic ethical decrees that form the pillars of research involving humans ?
- (1) Autonomy, beneficence, non-maleficence, justice
 - (2) Autonomy, confidentiality, justice, non-exploitation
 - (3) Beneficence, non-maleficence, confidentiality, responsibility
 - (4) All of the above

- 25. What are the three components of Informed consent ?**
- (1) Information, comprehension, voluntariness
 - (2) Comprehension, compensation, confidentiality
 - (3) Comprehension, voluntariness, non-exploitation
 - (4) Autonomy, confidentiality, comprehension
- 26. When is research on children justified ?**
- (1) Minimal risk
 - (2) Direct benefit to the child in case of greater risk
 - (3) Research devised only for children
 - (4) All of the above
- 27. Which information needs to be given to the participant before obtaining Informed consent ?**
- (1) Nature of the procedure, associated risks and benefits, contact details of the research team
 - (2) Nature of the procedure, benefits, excessive reimbursement
 - (3) Monetary compensation, free transportation and medication
 - (4) Participant does not have to be informed about any details
- 28. Gatekeeper's permission involves obtaining permission from**
- (1) Head of the family of the participant
 - (2) Panchayat head, school teacher, warden
 - (3) Drug Registration Authority
 - (4) Institutional Ethics Committee (IEC)
- 29. From children between 2 – 7 years of age**
- (1) Assent to be obtained from children and consent from parent or LAR (legally acceptable/authorized representative)
 - (2) Consent from parent/LAR
 - (3) Consent required from children
 - (4) Assent/consent of parents/LAR and unrelated witness

30. Who is an emancipated minor ?

- (1) < 14 years of age
- (2) Working teenager
- (3) Legally married
- (4) (2) and (3)

31. How many components constitute the Informed consent document ?

- (1) 4
- (2) 2
- (3) 3
- (4) 6

32. When should Informed consent be obtained preferably ?

- (1) Day of the trial
- (2) One or more days before the trial
- (3) At least one month in advance
- (4) 6 months in advance

33. Which of the following statements are true ?

- (1) Conceptualization of consent form is based on Western thinking that all adults are capable of independent decisions.
- (2) Participants should be debriefed after completion of the research.
- (3) Both of the above
- (4) None of the above

34. Independence and competence are the hallmarks of which scientific body ?

- (1) ICMR
- (2) Institutional Ethics Committee
- (3) Scientific Review Committee
- (4) Drugs Registration Authority

- 35.** Which of the following constitutes an IEC ?
- (1) Quorum of 8 – 12 members
 - (2) Research team
 - (3) Subject experts
 - (4) (1) and (3)
- 36.** Which of the following is/are included in the terms of appointment of IEC members ?
- (1) Policy for removal
 - (2) Replacement
 - (3) Duration of term
 - (4) All of the above
- 37.** IEC members are trained through
- (1) Relevant orientation courses
 - (2) Clinical practice of drug trial review
 - (3) Human protection ethics training
 - (4) All of the above
- 38.** Which three reviews are followed for proposals ?
- (1) Exemption from review, partial review, expedited review
 - (2) Expedited review, high risk review, full review
 - (3) Exemption from review, expedited review, full review
 - (4) Exemption from review, high risk review, partial review
- 39.** Which of the following does the study protocol include in the application to IEC ?
- (1) Signatures and details of research participants
 - (2) CVs of investigators which include qualification and experience
 - (3) Inclusion and exclusion criteria for entry of participants
 - (4) (2) and (3)
- 40.** In an International collaborative study, what is the requirement for exchange of biological material ?
- (1) Memorandum of understanding between collaborative partners
 - (2) Details of funding agency
 - (3) Review of HMSC and DCGI
 - (4) All of the above

41. If an IEC member has any conflict of interest in the project he/she should withdraw from IEC
- (1) While the project is being proposed
 - (2) At the completion of the project
 - (3) While the project is being discussed
 - (4) At any time during the project
42. How frequently should the ongoing projects be reviewed ?
- (1) Regular intervals of 1 – 3 months
 - (2) Regular intervals of 6 months – 1 year
 - (3) Once in 2 years
 - (4) No need for review upon initial approval
43. A proposal was submitted to IEC on November 1st, 2012. Ideally, by which month should it have been reviewed ?
- (1) August 2013
 - (2) November 2013
 - (3) May 2013
 - (4) July 2013
44. Tata Memorial Hospital is accredited by
- (1) SIDCER
 - (2) AAHRPP
 - (3) Both of the above
 - (4) None of the above
45. Which area of research does *not* require special consideration by IEC ?
- (1) Children
 - (2) Pregnant and nursing women
 - (3) International collaborative research
 - (4) Geriatric population

46. According to ICMR guidelines, for how long should IEC records be maintained after completion of study ?
- (1) Minimum of 3 years
 - (2) Maximum of 3 years
 - (3) Minimum of 3 months
 - (4) Maximum of 3 months
47. What is the full form of SOP ?
- (1) Standard Operating Programme
 - (2) Scientific Organizing Proposal
 - (3) Standard Operating Procedures
 - (4) Systemic Organizing Protocol
48. Which among the following is *not* necessary for an IEC to function smoothly and efficiently ?
- (1) Full-time secretariat
 - (2) Record-keeping space
 - (3) Members to be compensated for their time
 - (4) Fund allocation by all institutions
49. The minimal risk proposals exempted from review include
- (1) Instructional techniques
 - (2) Interview procedures
 - (3) Behavioural studies
 - (4) All of the above
50. When does an expedited review happen ?
- (1) Minimal risk studies
 - (2) In emergency situations
 - (3) Only for international proposals
 - (4) None of the above

PART B

Write short notes (in 200 – 300 words) on each of the following questions. Each question carries five (5) marks. *4×5=20*

- 51. ICMR guidelines for project proposal application requirements**
- 52. Contents of Informed consent form and the details involved in its development**
- 53. Participation of children, pregnant women and lactating mothers in research**
- 54. Institutional Ethics Committee and its role in scientific research**