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MFP-5

MANAGEMENT PROGRAMME (MP)

Term-End Examination

June, 2023

**MFP-5 : PROFESSIONALS IN FINANCIAL
MARKETS PRACTICE**

Time : 3 Hours

Maximum Marks : 100

Note : *Attempt any **five** questions. All questions carry equal marks.*

1. What is a 'Depository' ? What are the services provided by a Depository ? Discuss how a depository is different from a Bank ?
2. Describe the process of preparation of a 'Prospectus' and discuss the documents to be submitted along with the Offer Document. Explain the qualities of a good prospectus.
3. Why are Securities listed on Stock Exchange ? Discuss the important Clauses of Listing Agreement.

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4. What do you mean by a Financial Product ?
Explain the important features of Financial Products.
5. Explain the need for marketing of Financial Products and discuss the important strategies for marketing and distribution of these products.
6. What do you mean by Conflict of Interest ?
Briefly, discuss the conflict of interest situations faced by different market players in financial markets.
7. What do you mean by Portfolio Management ?
Explain the significance and benefits of Portfolio Management Services ?
8. Discuss the role and significance of 'Financial Advisors' and explain the factors affecting the Financial Advisory Profession.