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**MFP-1**

**MANAGEMENT PROGRAMME (MP)**

**Term-End Examination**

**June, 2020**

**MFP-1 : EQUITY MARKETS**

*Time : 3 Hours*

*Maximum Marks : 100*

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*Note : (i) Attempt any five questions.*

*(ii) All questions carry equal marks.*

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1. Define Risk. Explain different types of risks associated with a financial investment. How do they differ from each other ?
2. What do you understand by the term Debenture ? Discuss the major features and types of debentures, pointing out their advantages and disadvantages. Why is debenture redemption reserve created by the issue company ?

**P. T. O.**

3. Explain the process of order execution. Discuss the different types of order execution.
4. Describe the salient features of settlement of transactions in institutional segment. Explain the procedure involved in the settlement of funds and securities in the institutional segment.
5. Explain the surveillance system adopted by Securities and Exchange Board of India (SEBI) to ensure investor protection and safeguard the integrity of the markets.
6. Discuss the meaning and significance of technical analysis. Explain the basic tenets of Dow theory developed by Charles Dow.
7. Explain the concept of portfolio management. Discuss the relationship between risk, return and diversification of portfolio.

8. Write short notes on any *four* of the following :

(a) Rights Issues

(b) Active vs. Passive Investment Styles

(c) Company Analysis

(d) Generally Accepted Accounting Principles  
(GAAP)

(e) International Securities Identification  
Number (ISIN)