

**MANAGEMENT PROGRAMME/
POST GRADUATE DIPLOMA IN
FINANCIAL MARKETS PRACTICE**

Term-End Examination

01222

June, 2019

**MFP-005 : PROFESSIONALS IN FINANCIAL
MARKET PRACTICE**

Time : 3 hours

Maximum Marks : 100

Note : *Attempt any **five** questions. All questions carry equal marks.*

1. What is a 'Depository' ? Distinguish it with a Bank. Discuss the services provided by a Depository.
2. Discuss the significance of 'Road Shows' in the context of a public issue of securities and explain the constituents of these road shows. Also discuss the different activities that are involved in the preparation for Road Shows.
3. Why do companies go for Mergers and Acquisitions (M & As) ? Discuss briefly, different valuation methodologies used in M & As.

4. What is the need for promotion of Financial Products ? What are the main characteristics of promotional strategies ? What factors determine the choice of a particular promotion for a product ?
5. What is a 'Mutual Fund' ? Explain the advantages and disadvantages of investing in it and discuss the structure of Indian Mutual Funds.
6. Discuss the need for regulation of Public Issues and explain the issues that are required to be taken care of while regulating public issues of securities.
7. (a) What is 'PEST Analysis' ? Discuss the factors included in the PEST analysis framework.
(b) Explain the different services which are under the purview of Financial Advisory Services.
8. Discuss the scope for Financial Markets training as an employment opportunity and explain the different kinds of training opportunities one may come across in this area.