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MANAGEMENT PROGRAMME

Term-End Examination

June, 2018

MS-046 : MANAGEMENT OF FINANCIAL SERVICES

Time : 3 hours

Maximum Marks : 100

(Weightage : 70%)

Note : (i) Attempt any five questions.

(ii) All questions carry equal marks.

1. Explain the concept and characteristics of Financial Services. Discuss the role played by the Financial Services Sector in a Country's economy.
2. What are the different types of risks faced by the financial services industry ? Describe the various internal sources of risks applicable to different financial services.
3. Discuss the steps involved in the process of a Public Issue. Describe the guidelines issued by Securities and Exchange Board of India (SEBI) for IPO's.
4. Define 'Merger' and 'Acquisition'. What are the steps involved in a Merger ? Discuss the motives that promote Mergers and Acquisitions.

5. Explain the concept of Credit Rating. Discuss the benefits and limitations of Credit Rating. Name the three leading Credit Rating Agencies operating in India.
 6. What do you mean by Leasing ? Describe the main features of a lease contract. Describe the benefits of acquiring assets by the lessee on lease as compared to buying the same through Hire Purchase.
 7. Who can be an Insurance Broker ? Describe the role played by an Insurance broker. Discuss the code of conduct guiding them.
 8. Write short notes on any four of the following :
 - (a) Over The Counter Exchange of India (OTCEI)
 - (b) Special Purpose Vehicle (SPV)
 - (c) Securitisation of Housing Loans
 - (d) Endowment Assurance Plan
 - (e) Dematerialisation of Securities
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