

**POST GRADUATE DIPLOMA IN FINANCIAL  
MARKETS PRACTICE**

**Term-End Examination**

**June, 2013**

**MFP-1 : EQUITY MARKETS**

*Time : 3 hours*

*Maximum Marks : 100*

*Note : Attempt any five questions. All questions carry equal marks.*

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1. Define 'Financial Markets' and discuss the factors that affect the Global Financial Markets.
2. Why do companies issue 'Debentures' ? Discuss the major features of debentures and distinguish between convertible debentures and callable debentures.
3. What do you understand by Primary segment of the capital Markets ? What are the main features of such markets in India. Discuss the different ways in which funds are raised in the Primary Markets.
4. Write notes on the following :
  - (a) Listing Process on a Stock Exchange
  - (b) Green shoe option

5. Discuss the different types of 'Order Execution' in securities trading.
  6. What do you understand by dematerialisation of securities ? Describe the procedure followed for this purpose and bring out the role and benefits of a Depository.
  7. Discuss some of the popular patterns that chartists look for in charts and explain their interpretations.
  8. Explain why should an Investor develop an investment philosophy before actually investing ? Describe the Popular Investment Styles.
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