

MANAGEMENT PROGRAMME

Term-End Examination

February, 2021

**MS-046 : MANAGEMENT OF FINANCIAL
SERVICES**

Time : 3 hours

Maximum Marks : 100

(Weightage : 70%)

Note : Attempt any **five** questions. All questions carry equal marks.

1. What are the various risks faced by firms providing financial services ? How are these risks managed ? Discuss.
2. Describe the major steps involved in the process of a Public Issue of securities. Discuss the salient features of the guidelines on IPO issued by the Securities and Exchange Board of India (SEBI).
3. (a) Discuss the benefits of selling and buying of dematerialised shares.
(b) Explain the working of National Securities Depository Limited (NSDL).

4. What do you mean by 'Corporate Advisory Services' ? Discuss the services provided by the Merchant Bankers with respect to Corporate Restructuring.
 5. Define 'Asset Securitisation'. Explain the process of Asset Securitisation and discuss the role of different parties involved therein.
 6. Explain the significance of Housing Finance. Describe the role and functions of the National Housing Bank with respect to housing finance in India.
 7. Who is eligible to become an Insurance Broker ? What are the different categories of brokers ? Describe the functions of each category of Insurance brokers.
 8. Write short notes on any **four** of the following :
 - (a) Over the Counter Exchange of India (OTCEI)
 - (b) Treasury Bills
 - (c) Mechanism of Forfeiting Services
 - (d) Role of Mutual Fund Managers
 - (e) Venture Capital Fund
-