

**POST GRADUATE DIPLOMA IN CORPORATE
GOVERNANCE (PGDICG)**

Term-End Examination

December, 2015

**MCT-003 : COMPLIANCE AND RISK
MANAGEMENT**

Time : 3 hours

Maximum Marks : 100

Note : *Attempt any five questions. All questions carry equal marks.*

1. Explain the process of managing financial risk in a company. Give examples.
2. Discuss the compliance relating to Annual General Meeting.
3. What are the steps in Risk Management ? Explain with examples.
4. Discuss the compliance relating to disclosures.
5. Discuss the compliance relating to register and records.
6. Explain the tools for Managing Financial Risk.

7. Write notes on the following :
- (a) Compliance relating to Board of Directors
 - (b) Audit committee
8. Write notes on the following :
- (a) Non-mandatory requirements
 - (b) Subsidiary companies
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