

**MFP -5**

**Management Programme**

**ASSIGNMENTS  
SECOND SEMESTER  
2014**

**MFP-5: PROFESSIONALS IN FINANCIAL  
MARKETS PRACTICE**



**School of Management Studies  
INDIRA GANDHI NATIONAL OPEN UNIVERSITY  
MAIDAN GARHI, NEW DELHI – 110 068**

## ASSIGNMENT

---

<b>Course Code</b>	<b>:</b>	<b>MFP – 5</b>
<b>Course Title</b>	<b>:</b>	<b>Professionals in Financial Markets Practice</b>
<b>Assignment Code</b>	<b>:</b>	<b>MFP -5/SEM-II/2014</b>
<b>Assignment Coverage</b>	<b>:</b>	<b>All Blocks</b>

---

**Note: Please attempt all the questions.**

1. Visit a Stock Broker of your choice and find the role of a Broker in Stock Market. Identify his major activities. Discuss the qualities of a good Brokerage House.
2. How does the Book Building method differ from the Fixed Price Method. How is the issue price determined under the Book Building method? Discuss with the help of suitable examples.
3.
  - a) Discuss the factors responsible for limited distribution networks of some investment products in the rural households.
  - b) Discuss the strategies for marketing and distribution of financial products with specific reference to India.
4. Explain the potential situations of conflict of interests in case of Portfolio Managers and the Regulatory Framework governing them.
5. Explain the rationale for Financial Advisors and discuss the factors affecting the Financial Advisory Profession.